

**FORM W-8IMY WITHHOLDING STATEMENT – QI***To be attached to Form W-8IMY*

**Please complete a separate Withholding Statement for each account, selecting only one of the options 1, 2, 3 or 4 below to indicate the appropriate withholding rate pool category.**

**NAME OF QI** \_\_\_\_\_**ADDRESS** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_**QI-EIN**

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**GIIN**

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**ACCOUNT N.:** \_\_\_\_\_**TYPE OF ACCOUNT:** \_\_\_\_\_**1. CHAPTER 3 (QI) & CHAPTER 4 (FATCA)**

QI assumes primary responsibility (select all applicable options):

- |   |  |
|---|--|
| <input type="checkbox"/> <b>a.</b> Chapter 3, Chapter 4, Form 1099 and Backup Withholding.  | <input type="checkbox"/> <b>c.</b> Form 8966 responsibility under Treas. Reg. 1.6049-4(c)(4)(i) or (c)(4)(ii). |
| <input type="checkbox"/> <b>b.</b> Chapter 3, Chapter 4, and reporting responsibility under Treas. Reg. § 1.6049-4(c)(4)(i) or (c)(4)(ii) ( <i>Form 8966</i> ). | <input type="checkbox"/> <b>d.</b> Chapter 3 and Chapter 4.  |
|   | <input type="checkbox"/> <b>e.</b> Form 1099 and Backup Withholding.   |

**2. CHAPTER 4, FORM 8966, FORM 1099 & BACKUP WITHHOLDING**

QI does not assume primary 1099 and backup withholding responsibility and:

- |  |   |
|--|---|
| <input type="checkbox"/> <b>a.</b> Is using this Withholding Statement to transmit Forms W-9 for accounts held by US non-exempt persons ( <i>complete a Withholding Statement for each single account</i> ).                     | <input type="checkbox"/> <b>c.</b> Payments are allocable to a Chapter 4 Withholding Rate Pool of US Payees who are account holders of QI and the requirements of Treas. Reg. 1.6049-4(c)(4)(iii) have been met.                      |
| <input type="checkbox"/> <b>b.</b> Is acting on behalf of US non-exempt persons, either direct account holders or through another intermediary, who have not provided Form W-9. <b>Apply backup withholding (presently 28%).</b> | <input type="checkbox"/> <b>d.</b> The payments are allocable to a Chapter 4 Withholding Rate Pool of US Payees who are account holders of another intermediary that is a PFFI, Registered Deemed-Compliant FI or FFI with QI status. |

**3. CHAPTER 4 (FATCA)**

QI is acting on behalf of a NPFFI or recalcitrant account holders who are account holders of another FFI and payments are allocable to:

- |  |   |
|--|---|
| <input type="checkbox"/> <b>a.</b> Recalcitrant Account Holder Withholding Rate Pool of a PFFI or Registered Deemed-Compliant FFI ( <i>other than a reporting Model 1 IGA FFI</i> ). <b>Apply 30% withholding under Chapter 4.</b> | <input type="checkbox"/> <b>b.</b> NPFFI Withholding Rate Pool. <b>Apply 30% withholding under Chapter 4.</b> |
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**4. CHAPTER 3 (QI)**

Accounts held by non-US Persons:

- ☐
- QI requests the application of the following US NRA withholding rates:

Portfolio interest	Other interest	Dividends	Other	Other
_____ %	_____ %	_____ %	_____ %	_____ %

\_\_\_\_\_  
Signature of authorized person\_\_\_\_\_  
Date*Use of this withholding statement does not constitute tax or legal advice. v.19NOV2014*